**Personal Details**



Name : Yeo Yang Theng Eugenia

Nationality : Singaporean

NRIC : S8715208A

Date Of Birth : 2nd May1987

Contact : 91869389(Hp)

63430557(Residence)

Email Address : [yang\_theng@hotmail.com](mailto:yang_theng@hotmail.com)

**Working Experience**

**Deloitte & Touche LLP**

Position : Assistant Manager, Risk & Regulatory Advisory Services

Period : November 2014 - Current

Job Duties :

- Prepare regulatory obligation registers tailored for banks, CMS Licence Holders, insurance brokers and Fund Management Companies on a topical basis and provide summary of sections on a para-by-para basis. Engagement also includes providing of recommendations based on background of financial institution and industry best practice

- Perform gap analysis and also engaged in remediation exercise on inspection reports by the MAS/SGX with regards to scope of applicable regulatory obligations to the financial intermediary and provide finding reports, recommendations and industry best practice

- Draft policies and procedures against relevant MAS and SGX regulatory requirements that are tailored to the engagements

- Lead and conduct regulatory compliance reviews and also supported audit teams in Deloitte Singapore and Hong Kong offices in reviewing local and offshore clients, with regards to the adequacy of control procedures and compliance with applicable SGX Rulebooks

- Conduct reviews on the adequacy of AML/CFT framework, policies and procedures to identify deficiencies and provide specific recommendations tailored to set up of the financial institution, while ensuring compliance with relevant MAS Notices and Guidelines

- Assist in developing the template to perform Enterprise-Wide Risk Assessment (EWRA) for AML/CFT and Customer Due Diligence measures for CMS Licence Holders and FMCs. ­­­­

- Review and perform EWRA for financial institutions

- Prepare training materials on regulatory compliance and perform training for Deloitte Singapore and external clients

**Lim & Tan Securities Pte Ltd**

Position : Internal Audit/Compliance Senior Officer

Data Protection Officer (DPO)

Period : September 2010 – October2014

Job Duties :

- Conduct risk assessment of assigned department or functional area in established/required timeline

- Establish risk-based audit programs

- Review the suitability of internal control design

- Conduct audit testing of specified area and identify reportable issues and dimensions of risk

- Ascertain compliance with policies, procedures and regulations (eg. MAS regulations, SGX-ST rules)

- Communicate findings to Senior Management and draft audit report regarding areas of risk/concern

- Perform follow ups on audit report recommendations and report to Directors

- Coach and guide junior staff on audit and compliance engagements

- Review work of junior staff before submission to Senior Manager

- Appointed as the Company’s Data Protection Officer (DPO)

- Compose the Company’s Personal Data Protection policy

- Ascertain that the Company runs in accordance with the PDPA

Reason for leaving : Seeking to contribute to a wider exposure

**KPMG LLP**

Position : Audit Associate

Period : Sept 2009 - Feb 2010

Job Duties :

- Assist in the preparation of detailed audit report

- Collect and analyse data to obtain audit opinion

- Inspect account books of client companies to analyse the transactions and efficiency of the

accounting procedures

- Document and validate the operating effectiveness of the clients' internal control systems

- Make constructive suggestions to improve client internal controls and accounting procedures

- Report to the management of the Company regarding the audit results and suggest changes in financial

activities and operations of the Company

Reason for leaving : Keen to explore Internal Auditing field

**KPMG LLP**

Position : Intern (US tax)

Period : Jun 2008 - Aug 2008

Job Duties :

* Assist tax managers and seniors in tax filing for clients within tight deadlines
* Data entry
* Administrative duties

Reason for leaving : End of internship

**Education & Qualifications**

2014 : ICA Advanced Certificate in Governance Risk and Compliance

2006 – 2009: University of London (London School of Economics)

Bachelor of Science (Accounting and Finance)

External programme in Singapore Institute of Management

2004 – 2005: Serangoon Junior College (GCE ‘A’ levels)

2000 – 2003: Serangoon Garden Secondary School (GCE ‘O’ levels)

**Co-Curricular Activities**

Singapore Institute of Management

Tae-kwon-do (2006-2007)

- Vice president

Serangoon Junior College

Choir (2004-2005)

- Soprano Sectional Leader and Conductor

**Achievements**

2006 - Singapore Youth Festival (SYF), Choir- Silver

- ABRSM, Grade 5 Piano - Passed with high merit

2007 - Tae-kwon-do, NTU Open Inter-varsity Challenge (IVP): E-jang Pattern Team – Gold

- Whampoa Tae-kwon-do Community Club Poomsae Competition: Ee-jang Pattern Team- Silver

- Singapore Red Cross- Certified in Standard First Aid

2008 to 2012 – Royal Academy of Dance (RAD), Grade 8 Award, ballet

2013 - Promoted to Senior Officer- Internal Audit/Compliance (Lim & Tan Securities Pte Ltd)

- Nominated by Management to be the company’s Data Protection Officer (DPO)

2015- Promoted to Assistant Manager (Deloitte and Touche LLP)

**Availability**

1 month notice